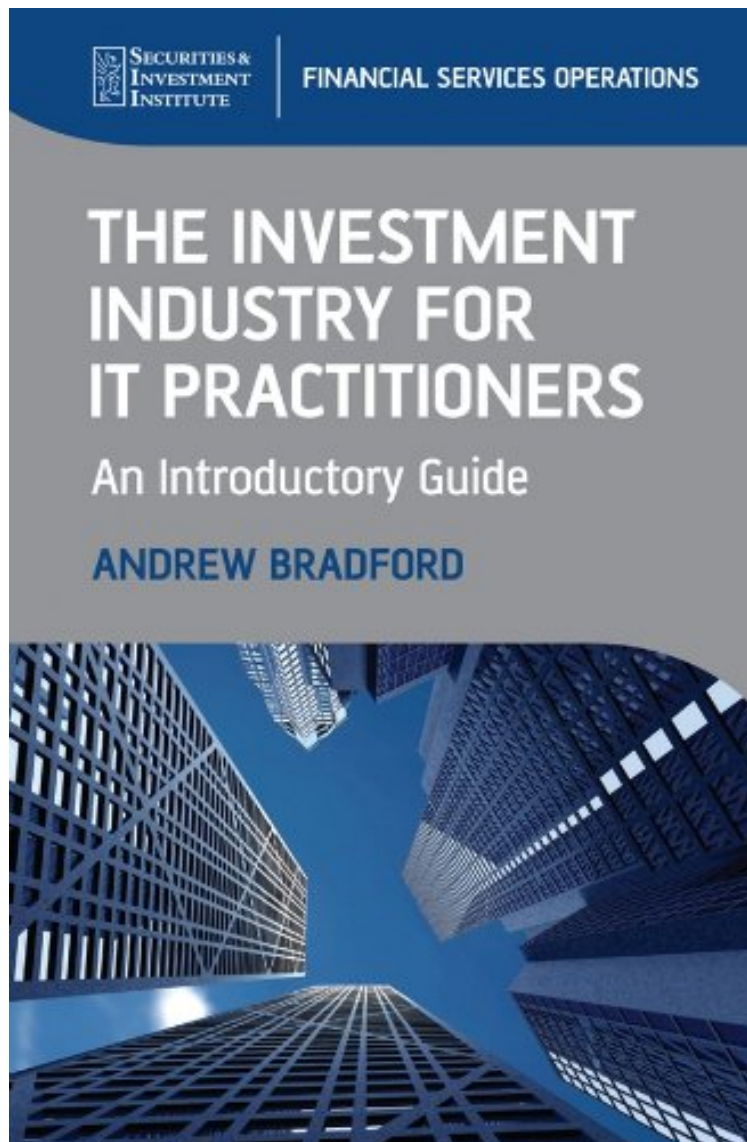


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The Investment Industry for IT Practitioners: An Introductory Guide (SII Series on Financial Services Operations)

Andrew Bradford

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0 of 1 people found the following review helpful. I can say that it is a very good overview supplementary for who has

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By Customer
The book is not for who are totally beginners to investment products and treasury systems used in financial institutions. Although this is the case, I can say that it is a very good overview supplementary for who has idea about financial instruments.
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Disappointing
By Ed KI was expecting a higher level overview of the Investment Industry, not what could be a textbook for an upper level college course.

Giving IT professionals in financial services firms a rounded and comprehensive grounding in their knowledge of their industry, this book offers a primer on the major financial instruments, transactions, and processes, as well as a sound knowledge of the principles of good IT management in the industry. The book gives readers a clear understanding of equities, bonds, currencies, listed derivatives and OTC derivatives. It explains transactions in those instruments and the requirements of business systems that process these transactions. Transactions covered include (inter-alia) agency and principal purchases and sales, loans and deposits, repos and reverse repos, stock loans; and also the Sharia-compliant 'Islamic' transactions that may be used as alternatives to interest bearing transactions. Andrew Bradford gives an introduction to how investment firms are regulated; offers an understanding of the STP (Straight-through-Processing) concept following the trade cycle for the transactions from order through to execution through pre-settlement to final settlement; covers basic accounting procedures for the transactions; and conveys the basic principles of good IT management in the investment industry.

From the Back Cover
Giving IT professionals in financial services firms a rounded and comprehensive grounding in their knowledge of their industry, this book offers a primer on the major financial instruments, transactions, and processes. It offers a sound knowledge of the principles of good IT management in the industry. The book gives the IT professional a clear understanding of equities, bonds, currencies, listed derivatives and OTC derivatives. It explains transactions in those instruments and the requirements of business systems that process these transactions. Transactions covered include (inter-alia) agency and principal purchases and sales, loans and deposits, repos and reverse repos, stock loans, and also the Sharia-compliant acirc;??Islamicacirc;?? transactions that may be used as alternatives to interest bearing transactions. Andrew Bradford gives an introduction to how investment firms are regulated. He offers an understanding of the STP (Straight-through-Processing) concept following the trade cycle from order through to execution through pre-settlement to final settlement and covers basic accounting procedures for the transactions. The book conveys the basic principles of good IT management in the investment industry.
About the Author
Andrew Bradford (Cheshunt, UK) is a Consultant and an Associate of the Securities and Investment Institute with expertise and background in back office operations, and systems analysis. He has contributed articles to a wide range of financial industry periodicals published in the UK, Europe and the United States including STP Magazine, Derivatives Report, Funds Europe, Journal of Securities Operations, Wall Street and Technology, Trading Technology Week and Futures Options World. Andrew is the author of the SII IAQ Workbook IT in Investment Operations published in May 2007. Currently a freelance consultant, he has held positions in IT, Operations and Marketing for Merrill Lynch, ABN Amro, Sanwa Bank and ACT Financial Systems.